

<b>KOMITE-KOMITE PADA PT CIMB NIAGA SEKURITAS</b> <b>COMMITTEES OF PT CIMB NIAGA SEKURITAS</b>	
<p><b>KOMITE EKSEKUTIF (EXECUTIVE COMMITTEE)</b></p> <p><b>Struktur:</b>  <b>Anggota:</b></p> <ol style="list-style-type: none"> <li>1. Presiden Direktur – Ketua</li> <li>2. Direktur <i>Corporate Client Solutions</i></li> <li>3. Direktur <i>Debt Capital Market</i></li> <li>4. Direktur <i>Corporate Finance, ECM &amp; M&amp;A</i></li> <li>5. <i>Head of Finance &amp; Support</i></li> <li>6. <i>Senior Staff Corporate Client Solutions</i></li> <li>7. <i>Corporate Secretary - Sekretaris</i></li> </ol> <p><b>Tugas dan Tanggung Jawab Komite:</b>            Memberikan update, reminder, follow up, melakukan koordinasi dan menyusun strategi terkait hal-hal yang termasuk namun tidak terbatas pada:</p> <ol style="list-style-type: none"> <li>1. Potensi deal(s), existing deal(s), mandate(s) pada CNS;</li> <li>2. Isu-isu komersial, teknis, ketentuan internal dan eksternal, serta isu-isu krusial lain yang berkaitan langsung maupun tidak langsung dengan potential deal(s), existing deal(s), mandate(s) pada CNS;</li> <li>3. Persiapan Manajemen maupun Tim Kerja (Working Team) sehubungan dengan pertemuan-pertemuan terkait dengan potential deal(s), existing deal(s), mandate(s) pada CNS.</li> </ol>	<p><b>EXECUTIVE COMMITTEE</b></p> <p><b>Structure:</b>  <b>Member:</b></p> <ol style="list-style-type: none"> <li>1. <i>President Director – Chairman</i></li> <li>2. <i>Director of Corporate Client Solutions</i></li> <li>3. <i>Director of Debt Capital Market</i></li> <li>4. <i>Director of Corporate Finance, ECM &amp; M&amp;A</i></li> <li>5. <i>Head of Finance &amp; Support</i></li> <li>6. <i>Senior Staff Corporate Client Solutions</i></li> <li>7. <i>Corporate Secretary - Secretary</i></li> </ol> <p><b>Roles and Responsibilities of the Committee:</b>  <i>To provide update, reminder, follow up, coordinate and make the strategy for the matters including but not limited to:</i></p> <ol style="list-style-type: none"> <li>1. <i>Deal(s), existing deal(s), mandate(s) potentials to CNS;</i></li> <li>2. <i>Commercial, technical, internal and external policies and other crucial issues directly or indirectly related to the potential deal(s), existing deal(s), mandate(s) of CNS;</i></li> <li>3. <i>Management or Working Team preparation in relation to the potential deal(s), existing deal(s), mandate(s) of CNS.</i></li> </ol>
<p><b>KOMITE INTRADAY</b></p> <p><b>Struktur:</b>  <b>Anggota:</b></p> <ol style="list-style-type: none"> <li>1. Presiden Direktur – Ketua</li> <li>2. Direktur <i>Corporate Client Solutions</i></li> <li>3. Direktur <i>Debt Capital Market</i></li> <li>4. Direktur <i>Corporate Finance, ECM &amp; M&amp;A</i></li> <li>5. <i>Head of Risk Management Division</i></li> </ol> <p><b>Tugas dan Tanggung Jawab Komite:</b>            Memberikan persetujuan atau penolakan atas proposal fasilitas <i>Intraday</i> yang diajukan oleh Unit Bisnis.</p>	<p><b>INTRADAY COMMITTEE</b></p> <p><b>Structure:</b>  <b>Member:</b></p> <ol style="list-style-type: none"> <li>1. <i>President Director – Chairman</i></li> <li>2. <i>Director of Corporate Client Solutions</i></li> <li>3. <i>Director of Debt Capital Market</i></li> <li>4. <i>Director of Corporate Finance, ECM &amp; M&amp;A</i></li> <li>5. <i>Head of Risk Management Division</i></li> </ol> <p><b>Roles and Responsibilities of the Committee:</b>  <i>To provide approval or objection to the Intraday Facility Proposal submitted by the Business Unit.</i></p>

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<p><b>KOMITE WAREHOUSING</b></p> <p><b>Struktur:</b>  <b>Anggota:</b></p> <ol style="list-style-type: none"> <li>1. Presiden Direktur – Ketua</li> <li>2. Direktur <i>Corporate Client Solutions</i></li> <li>3. Direktur <i>Debt Capital Market</i></li> <li>4. Direktur <i>Corporate Finance, ECM &amp; M&amp;A</i></li> <li>5. <i>Head of Risk Management Division</i></li> </ol> <p><b>Tugas dan Tanggung Jawab Komite:</b></p> <ol style="list-style-type: none"> <li>a. Melakukan review/ evaluasi atas usulan pemberian fasilitas <i>Warehousing</i> yang diajukan oleh Unit Bisnis.</li> <li>b. Memberikan persetujuan atau penolakan atas proposal fasilitas <i>Warehousing</i> yang diajukan oleh Unit Bisnis, sesuai dengan pertimbangan-pertimbangan komite.</li> </ol>	<p><b>WAREHOUSING COMMITTEE</b></p> <p><b>Structure:</b>  <b>Member:</b></p> <ol style="list-style-type: none"> <li>1. <i>President Director – Chairman</i></li> <li>2. <i>Director of Corporate Client Solutions</i></li> <li>3. <i>Director of Debt Capital Market</i></li> <li>4. <i>Director of Corporate Finance, ECM &amp; M&amp;A</i></li> <li>5. <i>Head of Risk Management Division</i></li> </ol> <p><b>Roles and Responsibilities of the Committee:</b></p> <ol style="list-style-type: none"> <li>a. <i>To review/evaluate the proposal for the Warehousing facility submitted by the Business Unit.</i></li> <li>b. <i>To provide approval or objection to the Warehousing facility proposal submitted by Business Unit, in accordance to the committee’s considerations.</i></li> </ol>
<p><b>KOMITE TRANSAKSI (DEAL COMMITTEE)</b></p> <p><b>Struktur:</b>  <b>Anggota:</b></p> <ol style="list-style-type: none"> <li>1. Presiden Direktur – Ketua</li> <li>2. Direktur <i>Corporate Client Solutions</i></li> <li>3. Direktur <i>Debt Capital Market</i></li> <li>4. Direktur <i>Corporate Finance, ECM &amp; M&amp;A</i></li> </ol> <p><b>Tugas dan Tanggung Jawab Komite:</b></p> <p>Memberikan persetujuan atas usulan nama klien yang hendak melakukan transaksi dengan dibantu oleh Perseroan.</p>	<p><b>DEAL COMMITTEE</b></p> <p><b>Structure:</b>  <b>Member:</b></p> <ol style="list-style-type: none"> <li>1. <i>President Director – Chairman</i></li> <li>2. <i>Director of Corporate Client Solutions</i></li> <li>3. <i>Director of Debt Capital Market</i></li> <li>4. <i>Director of Corporate Finance, ECM &amp; M&amp;A</i></li> </ol> <p><b>Roles and Responsibilities of the Committee:</b></p> <p><i>To provide approval on the client(s) name that have an intention to have a transaction assisted by the Company.</i></p>
<p><b>KOMITE PENJAMINAN EMISI (UNDERWRITING COMMITTEE)</b></p> <p><b>Struktur:</b>  <b>Anggota:</b></p> <ol style="list-style-type: none"> <li>1. Presiden Direktur – Ketua</li> <li>2. Direktur <i>Corporate Client Solutions</i></li> <li>3. Direktur <i>Debt Capital Market</i></li> <li>4. Direktur <i>Corporate Finance, ECM &amp; M&amp;A</i></li> </ol> <p><b>Tugas dan Tanggung Jawab Komite:</b></p> <p>Memberikan persetujuan atau penolakan terhadap Penjaminan emisi dan/atau penempatan efek untuk instrumen surat utang dan ekuitas, yang diajukan oleh Unit Bisnis (proposer) dalam batasan yang ditetapkan dan disetujui oleh Direksi.</p>	<p><b>UNDERWRITING COMMITTEE</b></p> <p><b>Structure:</b>  <b>Member:</b></p> <ol style="list-style-type: none"> <li>1. <i>President Director – Chairman</i></li> <li>2. <i>Director of Corporate Client Solutions</i></li> <li>3. <i>Director of Debt Capital Market</i></li> <li>4. <i>Director of Corporate Finance, ECM &amp; M&amp;A</i></li> </ol> <p><b>Roles and Responsibilities of the Committee:</b></p> <p><i>To provide approval or objection to the securities underwriting and/or placement for the debt or equity instruments, proposed by the Business Unit (proposer), within the limit set out and approved by the Board of Directors.</i></p>